Exemplary Practices in Electronic Records and Information Access Programs

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Table of Contents

The Search for Exemplary Practices ................................................................. 1
Exemplary Practices as a Foundation for the Gateways Project Results ........ 1
Data Collection and Analysis ....................................................................... 1

Patterns of Exemplary Practice in Electronic Access to Information ............. 2
  Proactive Acquisition .................................................................................. 2
  Collaboration ............................................................................................... 5
  Confidentiality ............................................................................................ 6
  Information Management ............................................................................ 8
  Interactivity ................................................................................................. 9
  Metadata ..................................................................................................... 12
  Migration & Preservation ........................................................................... 13
  User support and services ......................................................................... 14

Patterns of Practice by Types of Electronic Access Organizations .................. 15
  Communities: FDIC, Minnesota Data Center, MoJNZ, USDA-Cooperative State Research Education, and Extension Service & Economics and Statistics System ...... 15
  Data Libraries: Zentral Archive, ICPSR, NASA, WA State, UK Data Archive .... 16
  Comprehensive Operations: BLS, Census, FHWA, NYSDCJS, NYSDOH .......... 17
  Advocacy or Policy-focused Repositories: EACF, Urban Institute ANF & FJSRC ... 18
  Composite (mixed) Operations: GISP-OR, NCES ..................................... 19

Patterns of Practice in Types of Repositories ................................................. 20

Conclusions ................................................................................................. 22
Appendix ....................................................................................................... 24
The Search for Exemplary Practices

Exemplary Practices as a Foundation for the Gateways Project Results

An important part of the design of this project was to base the analysis and results on as wide a range as possible of experience and existing knowledge. Therefore an early stage in the project consisted of research to identify and describe exemplary practices in providing electronic access to information. This research included examining the professional academic literature on this topic, exploring Web-based resources, and gathering data about exemplary practices in selected organizations. The literature and Web research led to the selection of 22 organizations in the government and private sectors that engaged in one or more exemplary practices that seemed worthy of further investigation. We arranged extensive interviews with professional staff in these agencies to elicit more detailed information about their practices and related issues. The results of these interviews provide the data on which this report is based.

The agencies selected for these interviews were deliberately chosen to represent a highly diverse sample of information access providers. The sample includes government agencies in the US, Europe, and New Zealand. It also includes academic and nonprofit organizations, with a wide range of missions and methods. Their access programs range from support for social science research, to advocacy for children, to regulation of the banking industry. As a result of this diversity, the research brought to light two valuable kinds of result. First, the interviews documented important similarities in access issues and practices across very different organizations. Second, the results include descriptions of some very creative and effective practices that might not otherwise been identified. These findings are described in more detail below.

Both the similarities and diversity in access programs seen in this research provided useful insights for the overall project. This research fed directly into the development of the dimension-based approach to program analysis and design presented in the full project report. These dimensions represent program design factors that were found to be important in the agencies participating in this research. The varied ways agencies dealt with these factors provided important information for understanding the dimensions and the role they play in electronic information access programs. In these exemplary practices can be seen many instances of how the appropriate matching of program features with information characteristics and users can produce effective and efficient access and information use.

Data Collection and Analysis

A total of 22 organizations that provide electronic access were selected to participate in the interviews. They were selected on the basis of literature scans, Web site information, and recommendations of professionals in government and related organizations. Four organizations were selected for possible interviews. However, they either declined or were judged to be inappropriate after initial contacts.

1 A list of the participating agencies and contact information is listed in an appendix.
All interviews were conducted by four experienced qualitative research staff on the telephone, using a common protocol and basic questions. These were semi-structured interviews using a mix of closed-ended and open-ended questions. The interview protocol used a set of high-level questions to start the interviews, but allowed for the interview respondents to determine the sequence and depth in which topics were discussed. All interviews were tape recorded with the consent of the interviewee and verbatim transcripts were prepared for analysis. In some cases after reviewing transcripts, follow-up calls were made to the interviewees to check on responses or add information.

The interviews were analyzed by the interviewers working as a team. The purpose of the analysis was to extract descriptions of exemplary practices, why and how they were implemented, and the kinds of results produced. The team agreed on common methods to read and identify the desired material in the transcripts. They used a qualitative analysis software tool to work with electronic versions of the transcripts, marking selections of text with word codes to identify the specific information related to exemplary practices and their characteristics. After working with a small set of transcripts, the team agreed upon a set of common codes to identify and characterize the practices across all interviews. Use of the common codes and software tool provided for consistent methods of analysis and easy sharing and comparisons of results. Using the software tool allowed for extracting the descriptions from the interviews and easily sorting and arranging them according to the various codes and descriptors used.

This kind of analysis also was used to identify information about the organizations themselves and how they operate their information access programs. While possessing many unique characteristics, the organizations examined displayed some consistent similarities. Based on these similarities the analysis team identified six types of organizations in terms of their goals, the kinds of programs they operate, and their linkages with users and other organizations. Similarities and difference among the exemplary practices were also analyzed across these six types of organizations. The description of the organization types and related analysis of practices provide some additional insights into the relationships among access practices and the organizational contexts in which they operate.

Patterns of Exemplary Practice in Electronic Access to Information

When the practices across all 22 organizations were taken together, the most interesting and significant examples fell into eight categories. These categories provide a way of organizing the results in a way that highlights the implications for electronic access generally. Other practices, occurring at a lower frequency among these organizations, are described separately.

Proactive Acquisition

Among the organizations studied, several engaged in systematic practices to identify and recruit information providers as well as shape the content received. That is,
they were far removed from passive receptors for information that simply respond to the needs or requirements of information sources. Instead, these organizations employed a variety of methods to manage or change the information flowing to the repository by initiating interactions with the sources to influence what kinds of information was produced. This is treated as a different strategy from shaping the information inputs to a repository by some kind of filtering mechanism at the point of reception. A filtering or passive strategy can influence the actions of information providers indirectly by adjusting the contingencies under which information will be accepted. Proactive acquisition, by contrast, means that repository staff (or agents) become involved with information providers in the planning and development of information resources.

Of the organizations included in this study, five reported substantial activities that can easily be considered proactive acquisition. The two that appeared to be the most active in this regard were the Zentral Archive of the University of Cologne and the USDA, particularly the Economics and Statistics System. The Zentral Archive based their proactive acquisition on decisions about the preferred content of the Archive. The Archive’s mission is to promote research and understanding of the social and economic conditions in Europe, both current and historical. Based on this mission, the Archive staff identifies gaps or weaknesses in their holdings and takes action to remedy these shortcomings. They provide criteria and best practice standards for the conduct of future survey research that will produce potential content for the Archive. Staff members are active in networks of researchers to keep in touch with emerging issues and identify data sources in the planning stages. The Archive surveys approximately 10,000 researchers annually to identify work in progress, methods, and potential publications underway or planned. This survey and active networking provides a form of “early warning system” through which the Archive staff can identify where to influence research prior to data collection. These methods can shape the research products in their formative stages rather than waiting to filter unacceptable work when finished.

As sponsors of research and participants in a research community, the USDA is able to influence the directions and priorities of the research community in a proactive way. They are able to influence the direction of new studies through financial support and by participating in research conferences, publications, and sponsoring new research projects. This is discussed in more detail in the section on communities of practice below.

A narrower aspect of proactivity in acquisition was reported by NCES. This agency has an active data collection and research program of its own, which is not considered proactive acquisition in this sense. It is able to influence the actions of other data providers, however. One mechanism is to use the results of the user surveys and focus groups it conducts to identify their needs and issues. This information shapes NCES data collection and is shared with other data collection agencies to influence these other sources. NCES is also a participant in decisions on statistical policy for educational data and the kinds of information flows required by Federal education policies and programs.
Somewhat less extensive activities are found in the ICPSR approach to proactive acquisition. Since this repository maintains a longitudinal data series, it is active in seeking out the results from organizations that conduct data collection at regular intervals. ICPSR also employs a filtering approach by establishing format standards and content criteria for accepting statistical data. ICPSR also takes an active role in developing or improving the quality of metadata supplied by data providers. As with NCES, this repository takes an active role in professional and government groups that work with statistical policies and standards, all of which can influence the kinds of data sets offered for acquisition. In addition, ICPRS sponsors educational programs for researchers, covering research and statistical methods.

Proactive relationships with data providers and users are central to NYDCJS’s role in state government. Due to its prominent position in the governance of the justice system in NY State, the agency has some considerable authority relative to data providers and users. The primary focus of this authority is the repository consisting of criminal histories and related crime data. The kinds of data collected, collection and processing procedures, and controls for access and use are all established by state and Federal policies and regulations. The Commissioner in charge of DCJS also has oversight authority for the other justice agencies in the executive branch of state government: State Police, parole and probation agencies, and corrections. This agency staff is thus engaged in the overall policy making and operations that control the collection, storage, and access to most state and local justice information resources.

A current example of this role for DCJS is evident in efforts to support transitions to a new incident-based reporting system. Incident-based data, reporting individual crimes, is distinct from but closely related to the case-based data that makes up the existing criminal history and court data repositories. Criminal history repositories are well-established and highly standardized among justice agencies, but the old incident-based systems are in the process of revision to conform to a revised and expanded Federal system. NYDCJS has been proactive in promoting implementation of the new system and in seeking funding for local justice agencies to do the same.

When comparing the agencies with a record of proactive acquisition to the others, a clear pattern is evident. The agencies with proactive acquisition practices are much more likely to have a specific program or policy mission. The Zentral Archive and ICPSR have specific social science research agendas. NYDCJS has a public safety mission. The Federal agencies all have a recognizable policy or program domain, such as education, agriculture, space exploration, etc. They treat influence on the flow of information into their repositories as one of the ways to pursue the goals in that domain. It is also more likely that the staff of these organizations have training and professional experience similar to that in the provider organizations. They may be part of that larger community of practice and thus be equipped to express preferences and influence data.

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3 The FBI’s Uniform Crime Report system, started in 1929, was expanded into the National Incident Based Reporting System (NIBRS) in the late 1980’s. Since then, the US Department of Justice has been working with states and localities to bring them into NIBRS. Currently 34 states have certified systems, with work toward certification under way in all but two of the remaining states.
collection. Of course not all of the agencies with specific policy or program missions reported substantial proactive acquisition. But none of the more general repositories appear to invest resources in this kind of external activity.

**Collaboration**

Several forms of collaboration can be found in the activities of these repositories. These forms can be roughly divided into four groups: collaboration predominately with users, with data providers, with other repositories, or with more integrated communities involving many types of agencies. The practices in each form of collaboration are sufficiently different to deserve separate discussion.

Collaboration with users can itself take several forms. NASA involves users in collaboration to provide access to its own and many other repositories of related data. This is done primarily through maintaining and improving metadata in its Global Change Master Directory (GCMD). The GCMD is NASA’s directory of earth science and climate change data and services, which indexes contents and provides metadata for approximately 2000 data centers throughout the world. The linked centers maintain their own listings and metadata on line, through a database management application. This helps ensure more accurate and current listings. A different form of collaboration with users is found in the Zentral Archive’s European Data Laboratory. The Archive obtained EU funding to establish an access and analysis laboratory in which researchers can collaborate with each other and Archive staff on projects. The laboratory effort led to the establishment of the Collaborative Study of Electoral Systems, involving researchers from over 30 countries. Collaboration of the New Zealand Ministry of Justice takes the form of participation with users and data providers on the development and maintenance of systems and data sets. This form of collaboration appears to fit well with the justice domain, since the users and providers are typically the same agencies. Collaboration in the governance and operation of the repository itself is also seen in the ICPSR, but in a voluntary setting. The Consortium is a member-governed organization of over 500 colleges and universities. The operation is governed by a 12-member board (Council) of researchers elected from the consortium members, and working committees. This arrangement represents collaboration in a research domain in which the providers and users are often the same individuals and organizations.

Collaboration among providers was illustrated in work among Federal agencies on matters of data privacy and security. The NCES collaborated with other Federal statistics agencies to sponsor legislation facilitating data sharing among Federal agencies. However as of this writing, the legislation has not passed. NCES also has taken a leadership role in collaboration with other Federal repositories on policies and practices to deal with privacy and confidentiality concerns. Those practices are described in more detail in the section below on data confidentiality.

Collaboration among the users, providers, and information repositories linked to the USDA is unique among the agencies we studied. There is a very high level of many kinds of collaboration reported among the organizations involved in agriculture research and data activities. The various forms of collaboration we found are part of long-standing institutional relationships among the USDA units, agriculture extension agencies at the
state and local levels, universities and research centers, and agriculture producers. These institutional relationships have their roots in the creation of the land grant colleges under the 1862 Morrill Act, with their agricultural research and education mission to the farming community. The Federal relationship with those colleges and their local extension services was formalized in 1914 and has grown and developed since.\(^4\) The result is a complex network of legal structures, professional and scientific policies and practices, interorganizational relationships, and financial flows. Therefore collaborative processes relating to information access include joint development of programs, research agendas, and data requirements among research and government partners. Formal and informal communication across users, providers, and repositories is supported by frequent professional and research conferences, staff flow across organizations, shared professional and educational backgrounds, and numerous advisory boards. These relationships are described in a bit more detail below in the discussion of the community as a provider type.

The primary result of this collaborative environment is a high level of interaction among users, producers, and custodians of agriculture information. These interactions include an annual user survey, cross agency and unit collaboration on creating new data sets, and shared funding of new access facilities and research. USDA staff from the Economics and Statistics System and other units spend substantial time with state and local offices and user organizations, traveling extensively to meetings, conferences, and other opportunities to stay in contact. As described by one interviewer, the collaboration is part of the day-to-day fabric of how these organizations and individuals work, not a separate activity engaged in for an occasional project or event.

The level of collaboration on information issues seen among agriculture organizations, based on such a long history, cannot be readily or fully duplicated in other domains. However, some of the specific aspects of the collaborative behavior can be employed elsewhere. These include regular surveys of users, attendance at professional and research conferences, instituting advisory boards, and other mechanisms for users and colleagues to participate in decision making.

Confidentiality

Providing access to many of the information resources involved in this research requires maintaining the various levels of security and confidentiality. In this research we did not concern ourselves with the aspects of information security required to protect any electronic repository from attacks or intrusions by malicious persons or organizations. These security concerns are generic to all electronic repositories. Instead, we concerned ourselves with practices to maintain various levels of privacy and security in relation to access by authorized users. This is a particular issue for repositories of electronic information that provide access for diverse or general populations of users, but must limit access or use according to some regulatory framework. For these repositories, the practices of interest deal with controlling the conditions of access as well as controlling use of information subsequent to access.

\(^4\) A brief history of the agricultural extension service can be found at http://www.csrees.usda.gov/qlinks/extension.html
The most elaborate set of confidentiality and security provisions in our research were reported by Federal agencies, particularly the NCES. This agency’s repositories contain some data about individuals (students, teachers, etc.) that is protected by law. Yet the agency must provide some access to these data sets to fulfill its mission to support research and policy analysis for education. To do so NCES maintains both public use and restricted use files and a Disclosure Review Board. Before a data set can be released to a public use file, the agency’s Disclosure Review Board must review it and make a recommendation to the agency head (Commissioner). Data in public use files do not identify individuals; data in some restricted use files may have such identifiers when judged necessary for research. To obtain data from a restricted use file, the user must obtain a Restricted Use Data License from NCES. These licenses, which are legally binding, specify the conditions of use and access that must be maintained by the user. The NCES employs inspectors who perform unannounced inspections at user sites to ensure that the terms of the license are enforced.5

A restricted use strategy is also employed by the Census Bureau and Bureau of Labor Statistics (BLS). They employ licensing procedures to control use of restricted or confidential information. But their procedures and regulations are not as detailed and elaborate as NCES. Some BLS data is time sensitive so procedures are in place to monitor its release according to these sensitivities. However, most BLS data are available only in aggregated form and not suitable for identifying individuals. Some census data is collected at the individual level and is confidential by law (13 USC). In one particularly innovative approach to preserve anonymity, the Census Bureau has developed techniques to create synthetic data at the individual level. The technique transforms data from real individual records into new artificial records that do not represent any real person or household, but retain the statistical characteristics of the original data. The synthetic data could then be released for research without violating confidentiality requirements.

A voluntary approach to controlling use is employed for part of the Urban Institute’s repositories, the Assessing the New Federalism and National Center for Charitable Statistics data sets. The Institute requires users of the public use files from these sources to register before gaining access. As a private organization, the Institute has no statutory authority to control external user’s actions, but can use their registration information to communicate with users if a problem arises concerning how data are used. For the files in the Institute’s Federal Justice Statistics Research Center, no registration is necessary, since there are no confidentiality requirements for accessing the crime and court files. The same applies to data sets on state welfare policies in the TANF Typologies database.

A different confidentiality issue is faced by the NYDCJS. The criminal histories in their repository are a potentially highly valuable research resource, unavailable elsewhere. Studies based on these histories could provide useful new insights into criminal behavior and aid in prevention and rehabilitation. However, the legal restrictions

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5 The legal framework that applies to NCES data is described at http://nces.ed.gov/statprog/confid3.asp. Under current law, violation of these confidentiality regulations is a Class E Federal felony.
in place on the use of these histories prevent such research by outside researchers. The agency has tried, thus far unsuccessfully, to have legal restrictions changed to allow some research of this type. In this case the agency’s mission of public safety is aligned with the research interests of scholars. So the agency is in a position to advocate for both interests and attempt to establish a collaborative research relationship through changes in confidentiality policies.

**Information Management**

Noteworthy information management practices in this research fell into three types. Some practices dealt with improving access through changing the way the structure and organization of information resources was managed. A second group of practices dealt with maintaining and updating the content of repositories. The third set dealt with the location of the information management activities themselves, seeking improved access and operations through moving from centralized to some distributed management model. Thus the concept of information management that we use here is broader than would apply to the content of repositories alone.

Changes in the structure and organization of information provided ways to improve access. Two organizations, the FDIC and the New Zealand Ministry of Justice (MoJNZ) developed data warehouses as new ways to consolidate information from multiple files into a single system. The warehouse method of organizing multiple data sources was used in part to provide users with access to multiple data sources from a single access point or application. A warehouse can also be structured to help users combine data from various sources for analysis and reporting.

The data warehouse approach was also described as a way to improve maintenance and updating of information bases. The MoJNZ also reported that its data warehouse made those processes easier and more efficient, helping ensure up to date information for users. Another methods for maintaining and updating information sources, planned and partially employed by NASA, was creating mirror data sets on separate systems. These mirrored sets were set up so that changes in one would be automatically made in its mirror. Since NASA maintains or links to so many dispersed databases, mirroring would be an effective way to keep them in sync and up to date.

Other forms of information integration, different from warehousing, were also seen as effective paths to improved access and use. The NESTAR tool employed by the UK Data Archive can provide for integrated searching and compilation of data from distributed sources, using metadata to search and compile, and XML for data interchange. Using other tools, the MoJNZ plans to integrate justice data with related social policy and demographic data to support research and program planning. An extraction strategy for data integration is pursued by the Zentral Archive, in which they are bringing indicators from over 300 sample surveys to create a merged file describing international and intergenerational mobility. The theme of improving access through integration was overall an important one appearing in these examples and many others related to collaboration and interactivity, described below.
Distributed management of information resources provides a related mechanism for improving the maintenance and currency of information resources. NASA’s collaborative Global Change Master Dictionary, described above, is an example of this kind of design. The activity of managing metadata takes place locally, done by the custodians of the various distributed databases. But the results of that management activity are facilitated by and accessible in a central system. A similar approach was described by the UK data archives, but not fully implemented at the time of the interviews.

A broader concept of distributed management was described by the UK Data Archive staff. Though not a fully established practice, it was sufficiently interesting to deserve mention. It can best be described as a multi-tiered system of data collection, storage, access, and use. It would be based on localized information management mixed with global access. A government agency, for example, could be responsible for managing the collecting and storing, and access to information for a tier of users, such as other government agencies and their stakeholders. Organizations and agencies in another tier, such as a group of commercial users, might manage a different access and use a structure that would obtain and analyze and annotate the same information, along with other sources, for their own purposes, creating different repositories or information products. Access to any particular resource would be controlled by local systems that would impose rules and conditions on access (fees, licenses, etc.). This is similar to the current structure of some information access management arrangements, such as the management of Justice statistics by various private or non-profit organizations (e.g., Urban Institute, universities), with access through the internet, and to some degree the NASA GCMD site. However, in the ICPSR concept, there would be common search and analysis tools to navigate access across tiers in highly flexible ways. The NESTAR tool employed by the Archive approximates this kind of tool. But it requires compatible infrastructure, standards, protocols, and a data interchange medium (e.g., XML) to operate as conceived. This appears to be a development direction for the UK Archive, ICPSR, and possibly other repositories.

Interactivity

Methods to enable interactive access to and use of data were the most frequent notable practice described in the study. Altogether, twelve of the agencies reported one or more practices that provide users the opportunity to work with information on the site beyond simply accessing and downloading records or files. In all but one case, the medium of interaction was some form of Web-based tool or application. The exception was a BLS telephone-based voice response system that allows users to request specific data tables or other extracts from files to be faxed or sent out. Since the interviews were conducted, the BLS has created Web-based interactive tools to provide that service, as well as additional features similar to the others described here.

While the goal of interactivity for users was common across these organizations, the methods and styles of interaction varied considerably. The capabilities available in these interactive systems can be described in terms of three main types:
• ad hoc queries that enable users to extract information in structures and combinations that don’t exist in native form in the repository,
• visualization tools to present images based on processing and analysis of information from one or more sites,
• complex analyses of information extracted from one or more sites, with results presented to the user on line, and
• searching and indexing tools to support user exploration of the contents of one or more sites in ad hoc ways.

In some cases, the interactive capability offered by a repository included just one of these types, while others involved complex combinations. These interactive features were often described as representing the direction of planned future developments. In the time since the interviews were conducted, a quick survey of the Web sites of these organizations showed considerable expansion of the capabilities described in the interviews. Where appropriate, these newer capabilities are included in the details below.

The most advanced and complex capabilities we found combined tools for ad hoc queries, searching, data integration, and analysis. These are combined in a single tool set known as NESSTAR, developed at the University of Essex (UK) and Norwegian Social Science Data Services in Bergen. It is a combination of a browsing tool to locate data in a distributed data set and analytical tools to carry out simple analyses and download data to local files for further work. It works off a central server and uses standard syntax and data exchange tools (XML and DDI) to link across diverse systems. The central server maintains metadata about accessible data sets, filtering and authentication mechanisms, and the operational tools. The data reside in distributed organizations that collaborate in the overall system. NESSTAR is employed by both the UK Data Archive and The Zentral Archive. The NESSTAR system is used in the Zentral Archive’s Eurolab, described above.

Similar capabilities, though not in an integrated tool set, are offered by the NCES. That Center’s Web site provides 19 features that interact with one or more data sets. These features range from searches for data about individual schools and school districts to building a table from existing variables, to filtering through higher education data to find a set of institutions that are statistical peers within a chosen institution. In addition, NCES provides an online analysis engine (the Data Analysis System) to perform correlation analysis with selected variables. It also provides a mechanism to request more complex analyses by submitting requests online, with results returned by email. This last service requires registration, but involves no confidentiality control, since only unrestricted data are available. In addition, the NCES sponsors the International Archive of Educational Data, to support comparative and institutional research, which offers the Data Analysis System and similar interactive tools. The primary distinction between the NCES access and analysis tools, compared to NESSTAR, is the data source. NCES accesses its own and closely linked Federal sources; NESSTAR can access any data set linked to the server.

6 Additional information on NESSTAR (Networked Social Science Tools and Resources) can be found at http://www.nesstar.com .
The ability to process ad hoc queries for specific data, and to create tabular output was reported for several other repositories. The FHWA, BLS, Annie E. Casey Foundation, and Urban Institute have Web-based tools on their sites that support that type of interaction. The same is true for the Census Bureau, though census data available by this means have been purged of elements that would violate confidentiality requirements. The Urban Institute system also has added analytical capability that allows the user to generate cross tabulations of data from some of its data sets. The Kids Counts repository developed by the Annie E. Casey Foundation provides for extraction of longitudinal data at the national, state, and county levels. The Web site provides for creating charts showing trends in these data over multi-year periods (most for 1993-2000), and comparisons across several localities (e.g., comparing two or more states or counties with those states). This repository also provides color-coded maps of the US or counties within states, showing values for indicators chosen from the database (e.g., percentage of children living in poverty).

Ad hoc query capabilities to generate some kind of visual display were reported in government repositories as well. Map referencing to data through a geographic information system (GIS) was described by the US Census Bureau, NCES, and the Minnesota Data Center. The Minnesota Center provided users with CD’s that combined data and map-based interactive display functions. That Center’s Web site also provides static maps displaying various economic and social data does not provide interactive mapping capabilities. The NCES Web site allows searching for some data and reports through map references, in particular with the Data from the National Assessment of Educational Progress. The Census Web site, by contrast, offers a very high level of interaction with map referenced data, linking a sophisticated GIS with Census and other data files. That site allows users to select from a range of variables and display their distribution on highly detailed maps, with resolution down to the local political unit and census tract level. Users can change the resolution of the mapping and the variables mapped, and overlay combinations of some variables. This level of interactivity in a GIS display was the most advanced of those reported.

Other forms of visual displays were available to a limited degree. When extracting data about individual school districts, the NCES Web site displayed pie and bar charts of selected variables. The displays were not interactive to the extent of changing the content or display type, only selecting individual institutions to examine. Scatter plots of crime statistics are available from the Urban Institute’s Federal Justice Statistics Research Center site. That site plots frequencies of the crimes and prosecution by crime type for several years and geographic levels. The user can choose the data to be displayed, but not the characteristics of the chart. The NESSTAR tool used by the UK Data Archive and Zentral Archive also has the capability to generate charts from analysis of data extracted through that system. The user can choose from a menu of chart formats to generate a display.

Some type of searching capability was reported for all the Web sites with interactive features. Key word searches were common, providing search engine-type
access to material on the site. A geographically referenced gazetteer was reported by the UK data Archive, through which a user could search for data availability by map location. The NCES site provides a more structured search facility, with options to narrow searches by parameters matched to the contents of NCES databases. Identifying desired data sources through metadata files was the search strategy used in the NESSTAR system and the ILSIS, developed at the Zentral Archive. Metadata-based search capability has the virtue of creating a type of virtual catalog of data sources according to the search parameters established by the user. A related method for providing access to data resources via user searches was under development by the BLS. They were exploring automatic tagging of text and other content to facilitate indexing and efficient searching. However, results of this effort were not available for this report.

There has been significant development in the availability and power of Web-based interactive tools since these interviews were completed. The Web sites of all the participating organizations have been expanded and new features added since then. It was clear from their plans described in the interviews and the evidence of recent development completed that Web interactivity is a high priority. In describing these plans, many of the respondents made clear the reasons for this priority. One was the desire to provide users with easier, more efficient access and enhanced analytical power. The other was the potential for increased efficiency and cost savings for the repositories by automating access and analyses, with the user directing the processes. By investing in user-guided or controlled access and analyses, the organization could provide the same or enhanced services at lower costs to their budgets. Most of the respondents mentioned budget pressures as a constraint on responding to increased user demands in any other way. Given this combined incentive for interactive functionality, development along that path is very likely to continue.

**Metadata**

The quality and completeness of metadata are key factors in access practices of all kinds. The search and interactivity capabilities described above depend in large part on the metadata resources available to the searchers, the applications, and engines that do the work. The same applies to methods for integrating information from diverse sources. Managing and sharing information resources depends on the ability to describe and interpret the contents of data repositories and is also a direct function of metadata resources. However in spite of the centrality of metadata to these access programs, there were two distinct types of metadata practices reported in the research. The first had to do with improving the quality and usefulness of metadata for structured data sets, primarily statistical in nature. The other consisted of ways to create metadata for data resources that lacked it altogether or had substantial gaps in the available metadata. The strategies differ markedly between these and so are discussed separately.

The repositories that were concerned primarily with structured statistical data sets devoted more attention to the quality and completeness of metadata resources. Part of the proactive acquisition discussed for the central archive above, involves working with principal investigators who are developing new data resources. By working with these investigators prior to data collection, the staff of the central archive could insure the quality and completeness of metadata provided with those new data sets. A similar
proactive approach was used by the UK data archives. These archives developed metadata standards for use by providers of data for their repository. They also worked closely with high CPS in developing the standards and applying them to development of the NASA program. Part of the effort to provide adequate metadata to users of statistical databases was directed to the problem of multiple languages in use. The central archive and the UK data archives both deal extensively with researchers from many countries. This raises the problem of translation of metadata to make it accessible internationally. The UK data archives are working with the European Community to develop a multilingual thesaurus for metadata and to develop automatic indexing capabilities. They are also working to develop what they referred to as “contextual metadata.” This type of metadata would provide information to the user about the circumstances surrounding the data collection.

Standardizing and ensuring adequate metadata is a particular problem for repositories. It is a special problem for those that except datasets from a wide variety of sources. The ICPSR reported investing substantial staff resources in reviewing the metadata received with datasets. The staff will require additional documentation from suppliers when necessary. Standardized metadata is also important for repositories that provide search capability based on metadata files. This is true of the NASA Global Climate Change Archive and Federal justice statistics maintained by the Urban Institute. For the global climate change archive, NASA relies on the many suppliers of datasets to maintain the accuracy and currency of metadata on the NASA system.

Complete and high-quality metadata is much less likely to be available for data sets that come from administrative processes, collections of text, and other archival material. Metadata for these kinds of resources is typically created through indexing or tagging processes. For small volumes of material, indexing and tagging can be done manually. But that is infeasible for large volumes of information. Automatic indexing is a form of computer-based text analysis that assigns Index term, or tag, to a section of text or other material. Systems to do this kind of indexing automatically can be very valuable, but also very difficult to develop and maintain. For a general-purpose Library, such as the Washington State Library, the variety of material submitted is very large, making the indexing problem even more difficult. The Washington State Library reported success to some degree in indexing up to 40,000 current documents using their automated system. They also described efforts to work with information providers in order to have them contribute to that indexing process. They are attempting to provide support and standards for the originators of information to provide adequate indexing and other metadata to the repositories.

Migration & Preservation

The electronic information resources that were the focus of attention in this research exist in a very wide range of formats and storage media. The formats and storage medium for any information set is a result of decisions made about technology by those responsible for creating the information in the first place. Since information technology changes rapidly, new formats and storage media are becoming available at a rapid pace, and older methods and materials become obsolete just as quickly. This process presents the repository with the problem of deciding on a format and storage medium for its
content that will preserve access for as long as necessary. All of the repositories in this study had developed methods for dealing with this problem. The methods differed, however, due to the nature of the information they store, the technologies and needs of their users, and the time frames for maintaining such storage and access.

The research revealed two basic approaches to solving the problem of maintaining long-term access to electronic information in multiple formats and storage media. The repositories developed policies for the kinds of formats and storage media that they would except, in an effort to reduce the variety to maintain or migrate over time. The repositories also developed strategies for migrating information from older to newer formats, according to the nature of the information and the needs of the users. The problem of receiving data in multiple formats is more severe for repositories that accept data from a wide government or research community. To reduce the variety in formats, the ICPSR accept data only in a limited set of very common formats. A similar strategy is followed by the Federal Justice Statistics Research Center. However, these repositories to make exceptions for data sets that represent substantial value, even if they are in an unusual or obsolete format. The ICPSR staff reported excepting data sets recently on punch cards, although they did not currently have equipment on-site that could read the cards. So they had to go to an equipment warehouse to find punch card readers in order to create electronic version of the data set. They noted that the same problem could occur for equipment used to create current formats. It may be necessary to maintain some obsolete equipment in working order for the purpose of processing or migrating old data sets that come to light.

Once a data set is accepted in a particular format, it will still be necessary to refresh or migrate as information as technology changes. The repositories reported systematic conversion projects and schedules for migrating to new formats. They engaged in risk analysis to better understand the consequences of alternative conversion strategies and formats. One principle coming from the risk analysis which several mentioned was to convert to the most frequently used formats, since they were likely to persevere in use over longer periods. The large number of users for these common formats would provide an incentive for developers to create migration technologies and methods for them.

User support and services

Some of the repositories devoted substantial resources to support and assistance for users. The ICPSR conducts extensive training programs for researchers, both in research methods and data storage and preservation issues. Several of the repositories conduct regular user surveys to identify user needs and areas where support can be improved. The Zentral Archive created a user laboratory in order to facilitate access to the data resources and collaboration among their research community. The NCES provides online educational materials on its Web site, published training materials, and training courses for users. The repositories that deal with the most diverse user populations appear to have the most extensive educational and help facilities on their Web sites and in their programs. These would include the NCES, the Environmental Protection Agency, and the USDA.
Patterns of Practice by Types of Electronic Access Organizations

Part of the research goal was to examine the practices of these repositories for patterns or commonalities among them. Even though as organizations they are quite different in size, mission, and overall structure, we expected some similarities in the way they handle their information access responsibilities. Some reasonably consistent patterns did emerge in the relationship between some important features of the organizations themselves and their information access practices. A review of the similarities provides some useful insights into how organizational arrangements should be taken into account in seeking to enhance the provision of information access.

The patterns and consistencies we saw in these organizations can be described in terms of three main characteristics.

- Nature of the relationships between the repository, users, and information providers, including whether or not their interactions were routine and institutionalized or ad hoc and episodic, whether there were formal authority relationships, how long the relationships existed, and whether they extended beyond information access matters.
- The relationship of the information storage and access to the overall mission of the organization, whether providing access was the central mission of the organization or just one among many functions.
- The role of the repository in the overall life cycle of the information, whether the organization was simply a repository, or was involved in other aspects of data collection and processing.

In reviewing the activities reported by these organizations, it appeared that describing them in these terms led to reasonably clear groupings. The groupings could be named according to the common characteristics they shared. There were five in all: communities, advocates, libraries, lone rangers, and composites. Each is described in more detail below.

Communities: FDIC, Minnesota Data Center, MoJNZ, USDA-Cooperative State Research Education, and Extension Service & Economics and Statistics System

The primary characteristics of these communities are long-standing institutional relationships, shared mission, and identity. In each, most if not all of the organizations involved in data acquisition, use, and access activities have a formal or legal relationship, often based in statutes. They also work in the same policy domain, such as health, public safety, etc., which results in shared understanding of their overall mission and professional identity. The USDA community has roots and relationships going back over a century, as well as many professional and educational linkages. The FDIC deals primarily with the banking community and is closely related to it legally and professionally. The New York State agencies are tightly coupled with the public safety and public health communities respectively, and so forth. These are long-term, interdependent relationships. The kinds of information involved may be highly varied, but the conceptual and institutional frames are very similar within a community.
As a result of this pattern, some of the problems faced by other groups are less severe. Metadata and standards issues are generally less serious than with other groups, due in part to the legal frameworks available to support standards and consistencies, though they are far from fully effective. The focus on a more-or-less common mission means that the overall variety in types of information to be dealt with is less than elsewhere. Less variety in the nature of the data means fewer formats to deal with. The members of such a community are more likely to share the same assumptions about priorities and overall goals as well.

The relatively hierarchical structure and legal status of the relationships in such a community can be troublesome as well. Because the relationships and practices are often embedded in a policy and legal framework, change can be difficult and resources scarce. Government agencies must deal with annual budget cycles that inhibit long-term planning. For this group, their information activities are performed in the service of specific policy objectives. Providing information access is a means to an end for the repository, not an end in itself. Therefore, information services often must compete for resources with other programs and priorities. And unanticipated changes in policies and political priorities can interfere with information system developments and investment. The tight relationships have both positive and negative impacts.

*Data Libraries: Zentral Archive, ICPSR, NASA, WA State, UK Data Archive*

The most important distinguishing characteristic of the repositories in the group is their primary, dominant function as a provider of storage and access services. While their content priorities and user communities may vary, all of these organizations exist to acquire, preserve, and make available some class of information. This characteristic shapes most relationships with users and information providers, as well as the access practices employed. The library that is part of larger government (Washington) does have long term institutional relationships with many users and providers. However, it does not share the same mission and program goals of the other government agencies and the staff generally does not share professional identity and educational backgrounds. The relationships among the other libraries and their user/provider environments are much less institutional in nature, and may be ad hoc or short term in many instances, and may be strictly commercial. That is, in some cases, the library is simply a vendor, providing data for sale. Thus the relationships can be more market-like than a community or network of organizations. Where long term relationships are developed and maintained, they tend to be for the purpose of enhancing the quality of the information received or for improvements in the access processes rather that the pursuit of some policy objective.

The NASA Global Change Master Directory (GCMD) is a library of a narrower kind, but still similar to the other members of this group. The GCMD exists to acquire and provide access to information—in this case metadata. It has long and short-term relationships with the providers of this information, but the relationships are seldom statutory. And while potentially very large, there is no particular common identity or organizational linkage to the users of this resource, or for the very wide range of data sets accessible through the GCMD.
The range of information acquired by these libraries varies considerably. The Washington State Library is the most eclectic of the group, with its very broad mission to serve as, “the corporate library for Washington State Government, … deliver information services to the legislature and state government entities as they develop and carry out public policy; and [as] a leader in information policy, … partner with libraries and other entities to provide ready and equitable public access to information.” This broad mission means that this library deals with the highly varied materials produced in the course of Washington State government, both historically and currently. By contrast, the other members of the group have narrower missions in support of specific research communities and constituencies, dealing primarily with scientific and demographic information and statistical data sets.

The organizational structures and mission of these libraries require responses somewhat different from the community-type arrangements described above. The large number and diversity of potential suppliers of information to these libraries presents problems of acquisition management. These library-type repositories lack the close linkages and controls in a strictly government or institutional context. These libraries therefore must devote resources to managing the way suppliers present information to reduce problems arising from missing or low quality metadata, problematic formats, and other data quality and usability factors. As a result this group was the most heavily invested in proactive acquisition strategies. Libraries must also accommodate a variety of users with wide-ranging skills and technology resources, as well as disparate goals and information needs. Since providing access is central to their mission, however, as a group they devote substantial attention to working effectively with various user needs and capabilities.

Comprehensive Operations: BLS, Census, FHWA, NYSDCJS, NYSDOH

Of the repositories selected for this study, five conducted comprehensive operations, consisting of data collection, analysis, storage, and access provision. That is, their repository function was integrated with a role as the major or exclusive originators of the information resources, or taking a substantial part in that data collection process. Some had large-scale data collection operations internal to the organization, as in the case of the Census Bureau, BLS, and NYSDOH. The others had a major role in administering or sponsoring the processes that resulted in acquisition of information. All of them had primary responsibility for providing access to these resources, including policies on confidentiality and use.

As comprehensive repositories, these organizations maintained a somewhat different set of relationships with users and those involved in supplying information. Much of the supply of information is from units within the organizations, other government agencies with which it has functional relationships, or contracted data collection by external firms or other government agencies. The intake of information is thus largely under the control of the repository or regulated by policy, especially for statutory collection and reporting requirements such as the decennial census, quarterly inflation indicators, crime statistics, or educational assessments. In addition to required

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information collection, these agencies can be proactive with respect to additional research programs that generate new information flows. They may conduct these studies with internal staff, or contract for the data collection through commercial organizations, other government agencies, or research organizations, such as universities.

The flow of information into and out of these repositories is largely regulated by the agency and its legal and policy framework. In the case of the NY State agencies, much of the information flows both into and out of the repositories is confidential and thus limited to specific, legally sanctioned users and uses. This also applies to some of the data in the FHWA, BLS and Census files. For the BLS, confidentiality is in some cases a temporary constraint, since certain economic statistics (e.g., inflation and employment indicators) have important financial and political implications. That information is embargoed until the regularly scheduled release time. Premature release is illegal and punishable.

Providing appropriate access is complicated by the mix of users, running from lay persons seeking small targeted items of information for personal use (e.g., parents seeking information about a school system), to policymakers working on national issues, to researchers seeking large data sets, ad hoc queries, or new sophisticated analyses. The ones in this group that serve the general public, primarily the BLS, and Census Bureau, had therefore invested heavily in interactive access capabilities and online analysis and query tools. These provide efficient ways of supporting large volumes of user interactions with a limited staff. They reported focusing professional staff resources more on responding to requests from policy makers and the research community. Their attention to the needs of their user community is also reflected in substantial investments in user support.

This group of comprehensive repositories also paid considerable attention to the problems of multiple data formats and migration. Some of these concerns are a direct result of these agency’s roles in long-term retention of government records and statistics. Even if the agency has direct control over the formats of data at the collection stage, the need to deal with both emerging new and obsolescent old formats remains. This is a particular problem for large agencies, such as these, that support many diverse Web sites, each dealing with a particular program or policy area. The current FHWA Web presence includes 40 separate Web sites, each with distinctive information content and format requirements. The FHWA’s Highway History Web site, for example, includes an html version of the first issue of *Public Roads*, (Vol. 1, No.1) from May 1918. There is the additional need to provide data in digital formats to other government agencies with different formatting requirements, such as in the case of the Census Bureau and the New York State agencies. In spite of the exemplary practices these agencies have developed to deal with multiple formats, the problems will most likely persist, due to the combination of technology change and increasing conversion to digital formats.

*Advocacy or Policy-focused Repositories: EACF, Urban Institute ANF & FJSRC*

This repository type consists of private, non-profit organizations that exist to pursue a specific set of policy objectives. The Annie E. Casey Foundation (AECF) states its mission as “to foster public policies, human service reforms, and community supports
that more effectively meet the needs of today's vulnerable children and families.” With a similar but somewhat broader mission, the Urban Institute states its purpose as, “to examine the social, economic, and governance problems facing the nation.” The provision of information to policy makers and the various stakeholders in their respective domains is a central part of these missions. The Urban Institute’s mission statement is explicit, i.e., to provide “information and analysis to public and private decision makers to help them address these challenges and strives to raise citizen understanding of the issues and tradeoffs in policy making.” Both organizations maintain Web-accessed repositories of information, including statistical data sets that can be used to advance their respective missions.

There are, however, important differences between these organizations, in terms of funding, overall operations, and relationships with other organizations. With respect to funding, the Urban Institute is supported to some degree through contributions and primarily through grants and contracts for specific policy-related research projects. The AECF is a private foundation with an endowment (approx. $4 Billion), the income from which it uses to award grants and operate programs, including the Kids Count data sets and other data repositories. The Urban Institute’s repository and research program related to Assessing the New Federalism is in fact supported in part by grants from the AECF. As a sponsor of that program, the AECF is in a position to influence the nature of the repository, including the kinds of information and research products it generates. Any of the Institute’s information programs, repositories, and research efforts reflect the merger of sponsor’s influences with the Institute’s mission and the expertise its staff. The relationships with government agencies differ as well. The AECF is independent of government, but directs much of its effort at influencing government policy and programs. The Foundation’s repositories draw heavily from government data sets as well (e.g., the US Census). The Institute is more directly connected to some Federal agencies through grants and contracts to operate repositories and conduct research on their behalf. Overall, then, the AECF is in what could best be called a patron-client relationship with its grantees, and in a community relationship with its users. The Urban Institute is in more of a client-patron relationship with its foundation and government sponsors.

In terms of access to stored information, the differences between these organizations have at least one major consequence. That is, access to AECF information is structured in a much more coherent and focused way on the core mission of the Foundation. There is a balanced mix of access to statistical data and analyses along with indirect access through research reports. By contrast, the Institute's repositories cover a much wider range of issues and are consequently less focused. There is much more indirect access to information through research reports created for sponsors, than direct access to the statistical data on which reports are based. The FJSRC databases are, of course, available directly for download. But the interactive analytical capabilities available directly through the repository are at a lower level and do not provide trend analysis.

*Composite (mixed) Operations: GISP-OR, NCES*

These repositories characterized as composite or mixed operations differ from the others primarily in the combinations of roles they play in the overall acquisition, storage,
and access provision for information. The mix is such that they do not fit well with the other types. The NCES is similar in many respects to the Federal repositories in the comprehensive group. The Center is a receiver of government statistics about education, for which it provides storage and access, as well as a proactive agent in influencing what data are to be collected and by what methods. NCES is also an originator of data for its repositories, through both in-house data collection and contracting for data collection and research with other government agencies and other research organizations. As a part of the Department of Education, the Center is active in information policy formation as well, for education and for Federal statistics generally. The Center conducts in-house research and has an extensive publication program for research reports and statistical material. In this respect it is similar to the BLS and Census Bureau. However, unlike these other agencies, it also provides a rather wide range of training, research grants, and collaborative research programs with related government and private organizations (e.g., the American Educational Research Association). In addition, the institutional relationships in the education sector extend from the Federal level, to state education departments, to local school systems. This makes much of NCES’s information work part of the governance of this national system.

The mix of information roles in the GISP repository is much smaller and less diverse. The focus of this repository is much narrower, namely fostering collaboration and sharing information internationally about alien invasive biological species. It is a combined repository of index and linking information about related databases together with research reports and periodical publications related to this theme. The links to and involvement of international and non-US agencies are extensive. In this respect the GISP site is similar to the NASA GCMD, though not part of a comprehensive agency or providing for localized update of metadata. What is most notable about the repository is that it has developed from a largely voluntary effort and is heavily dependent on international collaboration. It illustrates the capability of Web-based resources to support collaboration among widely dispersed and diverse organizations with a common concern or goal.

For both organizations, information access is central to their mission. Therefore electronic access to their content is a high priority. In both cases the content is both digital and paper-based, so multiple formats and delivery mechanisms are required. For NCES, however, the publications are developed largely in-house, while the GISP publications are compiled from many external sources. Therefore the requirements of administration and vetting of content are different. NCES has mostly hierarchical or contractual relationships with information providers or creators, and thus more control over content and format. The GISP organization is largely voluntary, with more network relationships and informality governing interactions.

Patterns of Practice in Types of Repositories

In reviewing the practices reported in the research interviews, it became apparent that there were some marked variations in the kinds of practices across these types of organizations. In order to track these variations, the text of the interviews was coded according to the kinds of practices mentioned. A large number of practices were described and coded this way. However, many of them were mentioned only once or
twice over all interviews, so they were not useful for comparison across types. For the analysis discussed here, only the practices with several occurrences were used.

Using the coded text material, it was then possible to tally the references to particular practices and relate that tally to the type of organization. These tallies can then be considered a rough indicator of the prevalence or importance of that type of practice in that organization. Such a counting is at best an approximation of prevalence of a practice, since a single mention may in fact involve a substantial effort, and many mentions merely embellishments of a small effort. Overall, however, the differences in where the particular practices are mentioned does provide some insight into the possible relationships between access practices and the organizational setting in which they occur. The results of this analysis are shown in Figure 1 below.

This figure shows the percentage of the total occurrences of the practice for each type of organization in which it occurred. That is, the height of the bars for each of the types of practice in the figure add to 100%. If a bar does not appear for a type of organization in the space for a practice that means that practice was not reported for that type of organization. For example in Figure 1, practices related to migration and formats have two equal height bars (50%), one each for Community and Library type organizations. In the interviews, practices related to migration and formats were reported 10 times, five each for Libraries and Communities and none for the others. This way of recording the results normalizes for the different number of organizations in each category.

In spite of the roughness of this type of tally, the patterns in Figure 1 do suggest some useful observations. The most obvious is that practices do vary considerably across these types. The library-type organizations appear to have the most prevalence of these notable practices overall. Efforts with respect to proactive acquisition, metadata, and understanding user demand seemed particularly valuable. Considering that they face a very wide range of problems of multiple user types, heterogeneous inputs, complex environments, and growing demands, it follows that they should have created many creative responses. The Community types are a close second in this kind of indicator. Practices related to integration and information management are frequent for this type. This may be a result of the needs of the community for a variety of information products, drawing on inputs or analyses from multiple sources. The community organizations tend to be concentrated in policy domains where the desire for integrated analyses for policy purposes are stronger. The only practice types that were reported by all types of repositories were interactive access and user support and friendliness. Since these repositories all share a common mission to provide access to information, concern for users would be expected. And given the growth of Web access and technology generally, this is not surprising. This may also be a result of budget pressures. Many of the interviews described interactive access efforts as ways to reduce costs or improve services without increasing expenditures.
The practices related to confidentiality show an interesting pattern as well. The high bar for confidentiality in the mixed group is primarily from the NCES repository, which reported many practices of this sort. Confidentiality concerns for comprehensive and advocate organizations are indicated as well, which is consistent with their contents and organizational relationships. The lack of confidentiality concerns for communities and libraries also seems consistent with their content and mission. Most of the community organizations in this study do have confidentiality needs, but no particularly notable practices in that regard were reported.

These types of organizations were recognized in the analysis of the interview data, after data collection was complete. So it was not possible to explore the implications of this kind of consistency with the organization’s staff. With the information from these kinds of patterns now available, it would be potentially valuable to revisit these organizations, and others that fit the categories, to explore in more depth the origins and implications of these patterns.

Conclusions

The research reported here produced two major contributions to the larger project goal of improving access to electronic information repositories. First, it provided a field-based description of a wide range of exemplary practices that have been undertaken to pursue that goal. Combined with review of the literature, this description provided a
broad knowledge base of the problems repositories are facing and how they are responding to them. That provided one part of the answer to the improvement problem: namely an idea of what can be done. The opportunity to contrast what was being done in a variety of organizations helped supply material for the other part of the answer: namely what should be done. That is, this research combined with the material gathered from other sources in the project made it clear that not all access issues and problems called for the same response. What is shown in this research, and illustrated in the figure above, is that one size does not fit all.

Recognizing these patterns of complexity in access to electronic information was an important part of the discovery process. It led the research team to consider how to represent the diversity of situations and responses that planners and analysts should take into account. This in turn led to the identification of distinct dimensions that represent the important kinds of variability that should by taken into account in designing electronic access programs. Those dimensions form the central framework for the overall project report that grew from this study and the other activities of the project. They are a synthesis of the large volume of information gathered along the way, much input from the professional community, and considerable effort in analysis and synthesis. The combination is hopefully an important contribution to the improvement of electronic information access.
# Appendix

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<tr>
<th>Agency</th>
<th>Information Type</th>
<th>CONTACT</th>
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<tbody>
<tr>
<td>NYS Division of Criminal Justice Services</td>
<td>Criminal history data and other law enforcement data</td>
<td><a href="http://criminaljustice.state.ny.us/">http://criminaljustice.state.ny.us/</a></td>
</tr>
<tr>
<td>NYS Department of Health</td>
<td>Vital statistics; Hospital discharge data</td>
<td>Information Systems And Health Statistics Group, Corning Tower, Empire State Plaza, Albany, NY 12237</td>
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## Other State Governments

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<th>CONTACT</th>
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<tr>
<td>Minnesota Data Center</td>
<td>Part of a national network of State Data Centers and Business and Industry Data Centers affiliated with the U.S. Bureau of the Census - provide access to census, economic &amp; demographic data</td>
<td>State Demographic Center 658 Cedar St. St. Paul, MN 55155 651-296-2557 <a href="mailto:helpline@mnplan.state.mn.us">helpline@mnplan.state.mn.us</a></td>
</tr>
<tr>
<td>Washington State Library</td>
<td>State archives and other documents and records</td>
<td>State Librarian 6880 Capitol Blvd PO BOX 42460 OLYMPIA WA 98504-2460</td>
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## US Federal Government

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<tr>
<td>U.S. Environmental Protection Agency (EPA), Center for Environmental Information and Statistics (CEIS)</td>
<td>One-stop source of data &amp; info on environmental quality, status, &amp; trends</td>
<td>Public Access Resource Center <a href="mailto:public-access@epamail.epa.gov">public-access@epamail.epa.gov</a> <a href="http://www.epa.gov/ceis">http://www.epa.gov/ceis</a></td>
</tr>
<tr>
<td>U.S. Department of Agriculture (USDA) Economics and Statistics System</td>
<td>Reports and data sets from the economics agencies of the USDA; materials cover U.S. and int’l agriculture and related topics</td>
<td><a href="mailto:help@usda.mannlib.cornell.edu">help@usda.mannlib.cornell.edu</a> <a href="http://usda.mannlib.cornell.edu/usda.html">http://usda.mannlib.cornell.edu/usda.html</a></td>
</tr>
<tr>
<td>NASA’s Global Change Master Directory</td>
<td>U.S. Joint Global Ocean Flux Study (USJGOF); A part of global climate change research for scholarly use by the academic and scientific community</td>
<td>Responsible NASA Official: NASA/GSFC Code 902 <a href="mailto:olsen@gcmd.gsfc.nasa.gov">olsen@gcmd.gsfc.nasa.gov</a> GCMD User Support Office: <a href="mailto:gcmduso@gcmd.gsfc.nasa.gov">gcmduso@gcmd.gsfc.nasa.gov</a></td>
</tr>
<tr>
<td>Federal Deposit Insurance Corp. (FDIC)</td>
<td>Bank Data-Information on Banks and Banking; statistics on banking</td>
<td>Questions related to FDIC analytical publications and statistics: <a href="mailto:Research@fdic.gov">Research@fdic.gov</a></td>
</tr>
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24
Federal Highway Administration
Highway Statistics 1996

Selected statistical tabulations relating to highways in 3 major areas:
(1) highway use, ownership and operation of motor vehicles
(2) highway finance
(3) extent, characteristics, & performance of the public highways, roads, & streets
requests for info may be directed to the FHWA Executive Secretariat:
margaret.lomax@fhwa.dot.gov

National Archive of Criminal Justice Data (NACJD) (ICPSR, Univ. of Michigan)

Source data & code books from four agencies w/in the USDOJ (BJS, the National Institute of Justice, the Office of Juvenile Justice and Delinquency Prevention, and the FBI) & researchers in the criminal justice field
http://www.icpsr.umich.edu/NACJD/tel.: 800-999-0960.

Federal Justice Statistics Resource Center (FJSRC)
Operated by The Urban Institute
2100 M Street, N.W. Washington, DC 20037

Online access to the BJS Federal Justice Statistics Program (FJSP) database – includes case data n Federal suspects, defendants and offenders.
http://fjsrc.urban.org/index.shtml tel.: 800-732-3277

Non Government Organizations

Annie E. Casey Foundation, Kids Count Data Online

Interactive online database
The Annie E. Casey Foundation
701 St. Paul St. Baltimore, MD 21202
tel.: 410-547-6600
http://www.aecf.org/kidscount/

Government Information Sharing Project
Oregon State Univ.

Web-based interface to government data sets on CD-ROM; data provided by Bureau of the Census, Bureau of Economic Analysis, the National Center for Educational Statistics, and the MESA Group
Government Info Sharing Project
Valley Library 121
Oregon State University
Corvallis, OR 97331-4501
http://govinfo.kerr.orst.edu

Urban Institute Assessing the New Federalism Project
http://newFederalism.org/nfdb/index.htm

Data on the fifty states and Wash. D.C. in income security, health, child well-being, demographic, fiscal and political conditions, and social services
statedata@ui.urban.org

UK Data Archive
University of Essex
Wivenhoe Park, Colchester CO4 3SQ, United Kingdom

National centre to collect data relating to social and economic affairs from academic, commercial and governmental sources
http://www.data-archive.ac.uk/

Inter-university Consortium for Political and Social Research (ICPSR)
http://www.isr.umich.edu/

Computerized social science data; strategic undertaking is the acquisition and long-term preservation of social science data.
Institute for Social Research
University of Michigan
426 Thompson St.
Ann Arbor, MI 48104-2321
tel.: 734-764-8363
jsr-info@isr.umich.edu

Zentral Archive (Zentralarchiv), Koln, Germany
University of Koln

Results of social and economic research and government statistics from Germany and other European countries
http://www.gesis.org/ZA/index.htm

Non-U.S. Government

New Zealand Ministry of Justice (MoJNZ)
http://www.justice.govt.nz/

Law Enforcement System (LES), integrated criminal justice system
Philippa Fogarty, MOJ Info Strategy Mgr
philippa.fogarty@justice.govt.nz
After 27 Aug: Dean Martin
dean.martin@justice.nz.govt